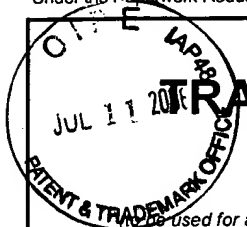
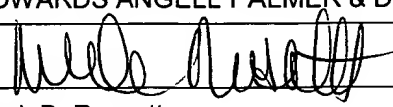


|   |    |                        |                        |
|---|----|------------------------|------------------------|
|  <h1 style="text-align: center;">TRANSMITTAL FORM</h1> <p style="text-align: center;"><i>Use for all correspondence after initial filing</i></p> |    | Application Number     | 09/155,635-Conf. #8942 |
|   |    | Filing Date            | July 9, 1999           |
|   |    | First Named Inventor   | Hideharu Sato          |
|   |    | Art Unit               | 1745                   |
|   |    | Examiner Name          | B. Lewis               |
| Total Number of Pages in This Submission  | 17 | Attorney Docket Number | 48699CPA2(71360)       |

**ENCLOSURES (Check all that apply)**

|   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b><br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> <b>Amendment/Reply</b><br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> <b>Extension of Time Request</b><br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> <b>Information Disclosure Statement</b><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> <b>Other Enclosure(s) (please identify below):</b><br>- Return Receipt Postcard<br>- Amendment Transmittal (1 page)<br>- Information Disclosure Statement IDS (Citation) by Applicant (4 References) (1 page)<br>- Certificate of Express Mailing (1 page)<br>- Charge \$1,200.00 to deposit account 04-1105 |
| <div style="border: 1px solid black; padding: 5px; min-height: 100px;">         Remarks       </div>  |  |  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|              |   |          |        |
|--------------|---|----------|--------|
| Firm Name    | EDWARDS ANGELL PALMER & DODGE LLP   |          |        |
| Signature    |  |          |        |
| Printed name | Mark D. Russett   |          |        |
| Date         | July 10, 2006   | Reg. No. | 41,281 |

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 MS Amendment, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

Dated: July 10, 2006

Signature:  (Mary M. Hutchinson)



## AMENDMENT TRANSMITTAL LETTER

Docket No.  
48699CPA2(71360)

Application No.  
09/155,635-Conf. #8942

Filing Date  
July 9, 1999

Examiner  
B. Lewis

Art Unit  
1745

Applicant(s): Hideharu Sato

Invention: LITHIUM ION SECONDARY BATTERY

### TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

| CLAIMS AS AMENDED  |   |   |                                   |      |                 |
|--|---|---|-----------------------------------|------|-----------------|
|  | Claims<br>Remaining<br>After<br>Amendment | Highest<br>Number<br>Previously<br>Paid | Number<br>Extra Claims<br>Present | Rate |                 |
| Total Claims   |   | - 20 =                                  |                                   | x    |                 |
| Independent<br>Claims  |   | - 3 =                                   |                                   | x    |                 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/>   |   |   |                                   |      |                 |
| Other fee (please specify): 1253 Extension for response within third month |   |   |                                   |      | 1,020.00        |
| 1806 Submission of an Information Disclosure Statement                     |   |   |                                   |      | 180.00          |
| <b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>                            |   |   |                                   |      | <b>1,200.00</b> |

☒ Large Entity

☐ Small Entity

☐ No additional fee is required for this amendment.

☒ Please charge Deposit Account No. 04-1105 in the amount of \$ 1,200.00.  
A duplicate copy of this sheet is enclosed.

☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. 04-1105  
as described below. A duplicate copy of this sheet is enclosed.

☒ Credit any overpayment.

☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

  
Mark D. Russett  
Attorney/Agent Reg. No.: 41,281

Dated: July 10, 2006

EDWARDS ANGELL PALMER & DODGE LLP  
P.O. Box 55874  
Boston, Massachusetts 02205  
(617) 439-4444

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Dated: July 10, 2006

Signature:  (Mary M. Hutchinson)



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PTO/SB/17 (01-06)  
Approved for use through 7/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

|  |                      |                          |                        |
|--|----------------------|--------------------------|------------------------|
| <b>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</b> |                      | <b>Complete if Known</b> |                        |
| <b>FEE TRANSMITTAL</b><br><b>For FY 2006</b>                                   |                      | Application Number       | 09/155,635-Conf. #8942 |
|  |                      | Filing Date              | July 9, 1999           |
|  |                      | First Named Inventor     | Hideharu Sato          |
|  |                      | Examiner Name            | B. Lewis               |
|  |                      | Art Unit                 | 1745                   |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 |                      | Attorney Docket No.      | 48699CPA2(71360)       |
| <b>TOTAL AMOUNT OF PAYMENT</b>   | <b>(\$)</b> 1,200.00 |                          |                        |

**METHOD OF PAYMENT** (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 04-1105 Deposit Account Name: Edwards Angell Palmer & Dodge LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

**FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES**

| Fee Description                                    | Fee (\$) | Small Entity Fee (\$) |
|--|----------|-----------------------|
| Each claim over 20 (including Reissues)            | 50       | 25                    |
| Each independent claim over 3 (including Reissues) | 200      | 100                   |
| Multiple dependent claims                          | 360      | 180                   |

| Total Claims | Extra Claims | Fee (\$) | Fee Paid (\$) | Multiple Dependent Claims | Fee (\$) | Fee Paid (\$) |
|--------------|--------------|----------|---------------|---------------------------|----------|---------------|
| -            | =            | x        | =             |                           |          |               |

HP = highest number of total claims paid for, if greater than 20.

| Indep. Claims | Extra Claims | Fee (\$) | Fee Paid (\$) |
|---------------|--------------|----------|---------------|
| -             | =            | x        | =             |

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|--------------|--------------|--|----------|---------------|
| - 100 =      | /50          | (round up to a whole number) x                   | =        |               |

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

|                                      |  |          |
|--------------------------------------|--|----------|
| Other (e.g., late filing surcharge): | 1806 Submission of an Information Disclosure Statement | 180.00   |
|                                      | 1253 Extension for response within third month         | 1,020.00 |

|                     |                 |                                   |                |
|---------------------|-----------------|-----------------------------------|----------------|
| <b>SUBMITTED BY</b> |                 |                                   |                |
| Signature           |                 | Registration No. (Attorney/Agent) | 41,281         |
| Name (Print/Type)   | Mark D. Russett | Telephone                         | (617) 439-4444 |
|                     |                 | Date                              | July 10, 2006  |

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Signature: (Mary M. Hutchinson)



## Certificate of Express Mailing Under 37 CFR 1.10

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Date

Signature

Mary M. Hutchinson

Typed or printed name of person signing Certificate

Registration Number, if applicable

(617) 517-5524  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Three Month Request for Extension of Time Under 37 CFR 1.136(a) (1 page)

Amendment in Response to Non-Final Office Action (8 pages) (in duplicate)

Amendment Transmittal (1 page)

Transmittal (1 page)

Fee Transmittal Form (1 page)

Information Disclosure Statement (3 pages) (in duplicate)

IDS (Citation) by Applicant (4 References) (1 page)

Charge \$1,200.00 to deposit account 04-1105